

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Johnson et al.

Art Unit: 2164

Serial No.: 09/737,035

Examiner:

Filed: December 14, 2000

.

For: METHODS AND APPARATUS

FOR AUTOMATED
UNDERWRITING OF

SEGMENTABLE PORTFOLIO

ASSETS

CERTIFICATE OF MAILING

I certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on

APR 11 , 2001.

Robert E. Slenker

PRELIMINARY AMENDMENT

Hon. Assistant Commissioner for Patents

Washington, D.C. 20231

Please amend the present patent application as set forth below.

IN THE DRAWINGS

On sheet 9 of the drawings, please delete "Figure 9" and substitute therefor "FIG. 14".

Remarks

Please enter the foregoing preliminary amendment prior to examination of the present application.



Applicants erroneously submitted two Figures labeled with the legend "Figure 9". Specifically, Applicants mislabeled drawing sheet 9 as "Figure 9" instead of "FIG. 14". Submitted herewith is a request for approval of drawing changes. Sheet 9 of the drawings has been amended to replace the legend "Figure 9" with the legend "FIG. 14." Also submitted herewith is a substitute drawing for Figure 14 incorporating the above-noted change. Applicants respectfully request approval of the drawing changes.

Favorable action is respectfully solicited. If any additional fee is due, please charge Deposit Account No. 01-2384.

Respectfully Submitted,

Robert E. Slenker

Registration No. 45,112

ARMSTRONG TEASDALE LLP

One Metropolitan Square, Suite 2600

St. Louis, Missouri 63102-2740

(314) 621-5070

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